

Field Evaluation of BD FACSPresto™ for Haemoglobin and CD4 Measurement

Leonard Kingwara^{1,*}, Kipkerich Bera Stephen¹, Caleb Ogada¹, Linda Chaba²,
Henry Muriungi³, Ruth Mumo¹, Edward Onkendi¹, Geoffrey Kangogo¹,
David Omondi Okeyo⁴, Mamo Umuro¹, Nancy Bowen¹

¹National Public Health Laboratories, Nairobi, Kenya

²Strathmore University, Nairobi, Kenya

³Mbagathi County Hospitals Nairobi, Kenya

⁴Kenya Nutritionists and Dieticians Institute (KNDI), Nairobi, Kenya

Abstract *Background:* Measurements of CD4 and haemoglobin are used to determine the immunological state and information about disease progression for HIV-infected patients. Use of BD FACS Presto™ point of care (POC) device for CD4 and haemoglobin (Hb) determination can significantly improve access, uptake and coverage of laboratory services and hence management of HIV-infected patients in resource-limited settings. This study evaluated the relative bias in CD4 and Hb measurements using BD FACSPresto™ system compared to BD FACSCalibur™ CD4 analyser and Mindray BC-5380 haematology analyser respectively based on venous and capillary blood samples in a clinical hospital setting. *Methods:* Venous and capillary blood samples were used to determine CD4 counts and Hb levels among HIV-1 infected patients. The samples were analysed on the BD FACSPresto™ and results compared against BD FACSCalibur™ and Mindray BC-5380 for CD4 and haematology analyser respectively. *Results:* Results for absolute CD4 counts in both venous and capillary blood showed a high correlation ($R^2 = 0.922$, $P < 0.001$) when they were analysed on BD FACSPresto™ and BD FACSCalibur™ machines. Overall, the mean difference in absolute CD4 count was 77.16 cells/mL (95%CI: 49.89, 104.42, $p < 0.01$) when analysed on two platforms. The BD FACSCalibur™ gave a higher mean of absolute CD4 count (834.38 cells/ml) compared to BD FACSPresto™ (757.23 cells/ml) when venous sample type is used. There was a significant mean difference of Hb levels at 0.31 ($P < 0.001$) between the two sample types when analysed on BD FACSPresto™ and Mindray BC-5380 haematology analyser. In addition, there was a high correlation ($R^2 = 0.920$, $P < 0.001$) of Hb level measurements between the BD FACSPresto™ and Mindray BC-5380 haematology analyser. *Conclusion:* The BD FACSPresto performed satisfactorily in comparison to the conventional reference standard technologies. Venous and capillary blood sample types showed a high correlation when analysed for absolute CD4 count and Hb using BD FACSPresto™, BD FACSCalibur™ and Mindray BC-5380 haematology analyser. BD FACSPresto capillary platform can be used interchangeably with BD FACSCalibur™ venous platform for CD4 and Mindray BC-5380 for Hb measurement in resource limited settings to increase access and uptake of laboratory services.

Keywords FACSPresto™, CD4, Immunology, Laboratory services, HIV-1

1. Introduction

Infection with HIV is mediated primarily through binding of viral envelope molecule gp120 to the cluster of differentiation (CD) 4 cell surface glycoprotein [1, 2]. A decrease in the number of CD4+ T cells is thus closely related to disease progression and increased risk of contracting opportunistic infections in HIV-infected patients [3]. Reconstitution of the CD4+ T cell population is achieved

over time after initiation of antiretroviral treatment [4, 5]. Quantification of CD4+ T lymphocytes in peripheral blood is, therefore, critical for the evaluation and monitoring of patients with HIV. In fact, the WHO recommends CD4 counts be used to enable differentiated care for diagnosis of drug failure where routine viral load is not feasible [6, 7].

In Kenya, CD4 measurements are used to support differential care in the national HIV program as stated in the recently launched “Test and Treat” guidelines [7]. The assay is used for determining the immunological stage of HIV infection, to obtain information about disease progression, identification of patients likely to benefit from cotrimoxazole or dapsone prophylaxis, patients most at risk of developing immune reconstitution syndrome, vaccine guidance and

* Corresponding author:

leonard.kingwara@gmail.com (Leonard Kingwara)

Published online at <http://journal.sapub.org/cmd>

Copyright © 2017 Scientific & Academic Publishing. All Rights Reserved

ARV regimen selection. In addition, CD4 counts may be used for optimal evaluation of treatment response and early detection of opportunistic co-infections such as *Cryptococcus neoformans* (CrAg) in patients with <100 CD4 T cells/ μ l. CD4 measurement tests are thus encouraged by WHO where feasible to improve the quality of HIV prevention, care and treatment programs. The standard method for determination of CD4 percentage and absolute cell counts is flow cytometry.

Disease progression in HIV-infected patients also results in haematological abnormalities including anaemia which is caused by various factors including nutritional deficiencies, neoplastic diseases and myelosuppressive medication such as zidovudine (AZT) and dapsone [8]. Low haemoglobin (Hb) levels are associated with increased risk of mortality which is independent of CD4 counts or viral load [9-11]. Anaemia also correlates with low CD4 counts, low body mass indices (BMI) and reduced quality of life [12]. Hb measurements are therefore used in ART regimen selection where AZT is excluded if baseline Hb level is below 9.5g/dL or substituted if subsequent measurements show a decline below baseline levels or less than 8.5g/dL. In addition, where dapsone is used as a substitute for cotrimoxazole for *Pneumocystis carinii* pneumonia, Hb must be monitored [7]. Thus although the gold standard disease progression markers are viral load and CD4 counts, haemoglobin levels are also used in clinical management of people living with HIV.

Unlike haemoglobin level determination, CD4 enumeration remains largely inaccessible in resource-limited settings, including Kenya due to poor health infrastructure and poor laboratory inventory systems resulting in stock-outs of laboratory consumables. Most clinical laboratories in developing countries are often unsuitable for routine determination of CD4. High costs of equipment, reagents and challenges associated with machine maintenance further restrict access [13, 14]. Other limitations include, poor nutritional status of patients making it difficult to obtain adequate venous blood draws for analysis and insufficient numbers of trained staff on venous phlebotomy. Moreover, there is a scarcity of educators and training programs, inadequate logistical support and insufficient monitoring of test quality.

To address these shortfalls in resource limited settings, significant investments need to be directed towards improving access to improved laboratory infrastructure. Such measures include developing laboratory capacity and deploying point-of-care technologies (POCTs) such as the BD FACSPresto™ which can measure both haemoglobin levels and CD4+ T cells. These efforts aim to reduce turnaround time for results and present the possibility of CD4 testing as soon as the diagnosis of HIV is confirmed thereby improving patient retention. Introduction of cost effective point-of-care CD4+ cell counters has improved access to quick and reliable CD4+ T-cell counts in HIV-positive patients [15, 16]. In terms of economic

evaluation, it's been indicated using a simulation model of HIV disease, that a POC CD4 strategy of immunological staging results in nearly one full year of additional life expectancy compared to LAB-CD4 and is near the very cost-effective [17-19]. It is, therefore, important to evaluate existing and emerging POC systems for accuracy, reproducibility, sensitivity and specificity in the context in which they are prescribed for use. Most medical laboratory equipment validations in Kenya have mainly been done in an ISO 15189 accredited laboratory without factoring in the actual working dynamics of non-accredited laboratories which comprise of approximately 99% of laboratories in Kenya [20]. In this study, we validated the BD FACSPresto™, point-of-care equipment, in a typical laboratory setting in Kenya (Mbagathi Hospital) against the BD FACSCalibur™ (for CD4) and the Mindray BC-5380 haematology analyser (for Hb) which are standard of care devices. Initial validation in similar settings [20, 21] involved calculation of misclassification around 350 cells/ μ l as the clinical cut-off as opposed to the normal range of >500 cells/ μ l as proposed in the new test and treat ART guidelines. This study verified not only the relative bias of BD FACSPresto™ against BD FACSCalibur™ in terms of absolute CD4 and %CD4 but also Hb measurement which was missing in the similar field evaluations [21] using the Mindray BC-5380 haematology analyser as the reference. This verification was done to determine misclassification, sensitivity and specificity of HB and CD4 test around 500 cells/ μ l; the clinical cut-off recommended for differential care in the new Kenya ART guidelines.

2. Materials and Methods

2.1. Study Design and Population

This study was conducted at Mbagathi Hospital and was divided into two parts (Equipment validation and sample type validation). The first part involved instrument comparison of the point of care device, BD FACSPresto™, against the gold standard BD FACSCalibur™ and Mindray BC-5380 haematology analyser using venous sample type. The second part was to compare venous against capillary blood sample types using BD FACSPresto™ for CD4 and Hb measurement. Determination of the sample size to use in validation was based on exceeding by 25% the minimum enrolment recommended by the Clinical Laboratory Standards Institute (CLSI) and Good Clinical Laboratory Practice (GCLP) guidelines requirement for laboratory assay method validations.

2.2. Sample Collection and Analysis

Written consent was obtained from 102 HIV-infected patients attending the Maternal and Child Health (MCH) clinic at the Mbagathi District Hospital for their routine follow-up. Their participation involved volunteering to

provide both venous and capillary blood samples. Health care facility staff trained in phlebotomy collected blood specimens as previously described [20]. The samples were collected between 31st October and 23rd November 2015. Capillary specimens were analysed immediately using the BD FACSPresto for research use while EDTA venous blood samples were analysed on the BD FACSCalibur™, BD FACSPresto™ and Mindray BC-5380 haematology analyser. Results obtained from the BD FACSPresto device were intended for research use only and were not used for clinical patient management. All procedures were conducted under Good Clinical Laboratory Practices and Good clinical practice guidelines to ensure quality of laboratory testing, safety and confidentiality of subjects participating in the study and quality of results.

2.3. Statistical Analysis

The accuracy and precision performance of BD FACSPresto™ system was assessed by comparing its CD4 count results against the FACSCalibur™ results (Gold standard) using venous samples. Further assessment of the new system was done by comparing the performance of the new system using two different sample types; venous blood (Gold) and capillary blood (New) for 102 blood samples. The comparison involved both the CD4 count and percent results. For Hb, we compared results obtained from Mindray BC series hematology analyzer using venous blood samples to those obtained from BD FACSPresto™ system using capillary blood samples.

To assess accuracy, correlation and linear regression analyses were reported. Bias was also reported using Bland-Altman analysis in which the difference between the two methods of measurement was plotted against their mean. The limit of agreement was calculated as the mean \pm 1.96 Standard Deviation (SD) of the differences of the results obtained. Misclassification rates around clinical cut-offs were also reported to measure accuracy. The CD4 results were categorized into two categories low CD4 values (<200 and <500 cells/ μ l) and normal CD4 values (\geq 500 cells/ μ l and \geq 200 cells/ μ l) for absolute CD4 count and low CD4% (<25%) and normal CD4 % (\geq 25%) for CD4 percent [6]. Standard deviation and coefficient of variation (CV) were reported as a measure precision.

Paired sample t-test was used to determine if there is a significant difference between the results from the two systems or between the different blood samples on the new system since the sample types are from the same individual. Statistical analysis was done using R program.

Stepwise analysis for precision and accuracy measurements were performed as follows

a) The first phase was equipment validation at national HIV reference laboratory.

- For precision analysis, we used use venous results from BD FACSCalibur™ to split the sample into low CD4 values (<200 and <500 cells/ μ l) and samples of

normal CD4 values (\geq 500 cells/ μ l and \geq 200 cells/ μ l)). Absolute mean of CD4 values, standard deviation and coefficient of variation were then calculated and evaluated for acceptability of the new method (BD FACSPresto™). This was to ascertain the performance of the new equipment.

- For accuracy measurement, we used venous samples to split the sample into low CD4 values (<200 and <500 cells/ μ l) or percent values \leq 25% and samples of normal CD4 values (\geq 500 cells/ μ l and \geq 200 cells/ μ l) or percent values > 25%). We then compared the data set by graphing; the slope and y-intercept of the best-fit line were then calculated using linear regression. We finally determined the p-value to determine whether there was correlation.

b) The second phase was field evaluation which involved deploying the equipment at Mbagathi hospital which is a non ISO accredited laboratory to capture the testing dynamics of using this equipment in a non ISO15189 accredited laboratory and the probable variation that could be observed when capillary sample type was used as opposed to the usual venous blood draw.

- For precision analysis, we used venous samples to split the sample into low CD4 values (<200 and <500 cells/ μ l) and samples of normal CD4 values (\geq 500 cells/ μ l and \geq 200 cells/ μ l). We then determined the absolute mean values for the two sample type, standard deviation and coefficient of variation as a verification process of the suitability of the new sample type.
- For accuracy measurement, we used venous samples to split the sample into low CD4 values (<200 and <500 cells/ μ l) or percent values \leq 25% and samples of normal CD4 values (\geq 500 cells/ μ l and \geq 200 cells/ μ l) or percent values > 25%). We then compared the data set by graphing. The slope and y-intercept of the best-fit line were then calculated using linear regression. Finally p-value was determined to ascertain whether there was correlation.

c) For Hb measurement, we determined the accuracy and precision of the BD FACSPresto™ by comparing its capillary BD FACSPresto™ results to the gold standard venous sample type Mindray BC series hematology analyzer at Mbagathi hospital laboratory.

d) Data acceptance criteria

- For CD4 and CD8 absolute values, the 95% confidence interval (CI) of the mean difference between the test and the reference systems should be \pm 10%.
- For CD4 and CD8 percent values, the 95% CI of the mean difference between test and reference systems should be within an absolute \pm 3% or a relative \pm 10% of the reference system, whichever is greater.

3. Results

102 people were involved each giving both the capillary and venous sample type. All capillary blood specimens had a corresponding venous sample from the same subject. The blood samples were tested on the **FACSPresto™** and the **FACSCalibur™**.

a) Comparison between FACSCalibur™ and FACSPresto™ using venous blood sample

Table 1 contains summary statistics for absolute CD4 count analysed on FACSPresto™ and FACSCalibur™. The mean CD4 counts were 757.23 (372.091) and 834.38 (449.561) for FACSPresto™ and FACSCalibur™ respectively. There was a significant difference in the mean of the CD4 count results from the two systems with a mean bias of 77.16 cells/ mL (95%CI (49.89, 104.42), $P < 0.01$). This indicates that the FACSPresto™ generated significantly

lower CD4 counts values compared to the FACSCalibur™ especially for the patients with normal CD4 count values. See Table 2 for CD4 count summary statistics at different clinical CD4 count thresholds. Figure 1 shows bias results from the Bland-Altman analysis and correlation and regression results on a scatter plot. The mean bias and limits of agreements were 77.16 cell/μl and -200.47 cell/mL to 354.81 cell/μl respectively. The correlation analysis indicates a strong positive correlation ($r=0.96$, $p < 0.001$) between the CD4 values generated by the two technologies. There was 94.11% overall agreement with sensitivity, specificity, PPV and NPV at 94.74%, 92.31%, 97.3% and 85.72% respectively for FACSPresto™ using FACSCalibur™ as the gold standard. Using 200 cells/ μl as a threshold, the overall agreement was 95.1% with sensitivity, specificity, PPV and NPV at 97.8%, 66.67%, 96.8% and 75.00% respectively. These results are shown in Table 3.

Table 1. Summary statistics for venous blood results using both BD FACSCalibur™ and BD FACSPresto™

Technology	Mean	95% CI for Mean (LL, UL)	Std. Deviation	Minimum	Maximum	CV
CD4 Abs. BD FACSPresto™	757.23	(684.14, 830.31)	372.091	62	1586	49.14
CD4 Abs BD FACSCalibur™	834.38	(746.08, 922.68)	449.561	70	1810	53.88
Difference (BD FACSCalibur™ - BD FACSPresto™)	77.1569	(49.89, 104.42)	138.82340	-421.00	469.00	179.92

Table 2. Descriptive venous blood subdivided into various CD4 count ranges using BD FACS Calibur and BD FACSPresto™

Technology	CD4 categories	N	Mean	95% CI for the mean(LL, UL)	Std. Deviation	Minimum	Maximum	CV
CD4 Abs. FACSPresto™	Normal (≥ 500)	74	928.35	(863.96, 992.74)	277.928	516	1586	29.94
	Low (< 500)	28	304.96	(254.8, 355.06)	129.193	62	490	42.36
CD4 Abs FACSCalibur™	Normal (≥ 500)	76	1030.84	(953.92, 1107.77)	336.627	515	1810	32.66
	Low (< 500)	26	260.12	(208.63, 127.46)	127.46	70	477	49
CD4 Abs. FACSPresto™	Normal (≥ 200)	94	809.43	(739.96, 878.89)	339.17	235	1586	41.9
	Low (< 200)	8	143.88	(99.91, 187.84)	52.59	62	197	36.55
CD4 Abs FACSCalibur™	Normal (≥ 200)	93	903.67	(819.56, 987.77)	408.38	207	1810	45.19
	Low (< 200)	9	118.44	(91.07, 145.82)	35.61	70	161	30.07

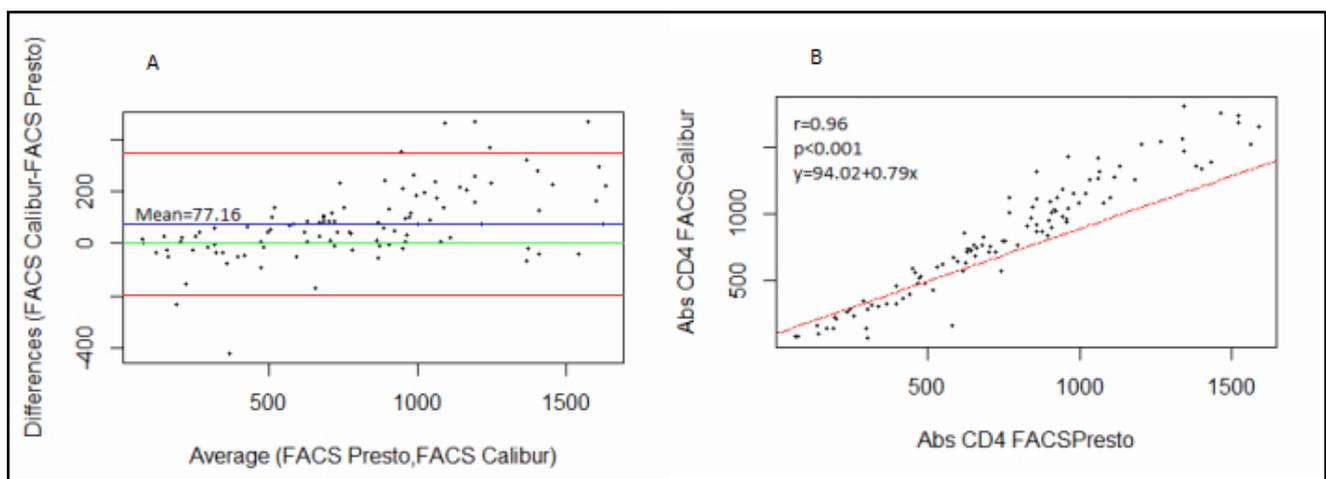


Figure 1. Comparison between FACSPresto™ and FACSCalibur™. (A) Bland-Altman indicates mean bias between absolute CD4 counts obtained on FACSPresto™ compared with those obtained on the FACSCalibur™. (B) Regression plot comparison of absolute CD4 count obtained from FACSPresto™ with the FACSCalibur™ as reference standard

Table 3. Sensitivity, Specificity, Positive Predictive Value (PPV) and Negative Predictive Values (NPV) and misclassification rates of absolute CD4 count and CD4 % at thresholds of 200 cells/ μ l, 500 cells/ μ l and 25% respectively

	Platform/ Blood sample	Gold standard	Overall agreement (Accuracy)	Sensitivity	Upward misclassification	specificity	Downward misclassification	PPV	NPV	Overall misclassification rate
500 cells/μl										
Absolute CD4	Presto	Calibur	94.11%	94.74%	5.26%	92.31%	7.69%	97.3%	85.72%	5.89%
Absolute CD4	Capillary	Venous	97.06%	97.40%	2.60%	96.00%	4.00%	98.00%	92.31%	2.94%
200 cells/μl										
Absolute CD4	Presto	Calibur	95.1%	97.80%	2.20%	66.67%	33.33%	96.81%	75%	4.9%
Absolute CD4	Capillary	Venous	99.02%	98.95%	1.05%	100%	0.00%	100%	87.5%	0.98%
25%										
CD4 %	Capillary	Venous	95.10%	95.06%	4.94%	95.34%	4.66%	96.15%	83.33%	4.9%

Table 4. Comparison of results using BD FACSPresto™ on venous and capillary blood for the various CD4 count

Sample type	Mean	95% CI for Mean (LL, UL)	Std. Deviation	Minimum	Maximum	CV
Capillary Absolute	864.48	(763.59, 965.37)	513.65	57.00	3674.00	59.42
Venous Absolute	842.59	(742.95, 942.23)	507.28	70.00	3590.00	60.20
(Venous-Capillary) Abs CD4	-21.89	(-39.09, -4.70)	87.54	-336.00	114.00	-399.85
Capillary Percentage (%)	34.07	(31.52, 36.62)	12.98	4.32	59.07	38.10
Venous percentage (%)	34.89	(32.31, 37.47)	13.13	4.77	60.35	37.63
(Venous-Capillary) % CD4	.82	(0.07, 1.57)	3.81	-23.11	17.47	464.85

Table 5. Comparison of results using BD FACSPresto™ on venous and capillary blood for the various CD4 count ranges

Sample type	Category	N	Mean	95% CI for Mean	Std. Deviation	Minimum	Maximum	CV
Capillary CD4 Absolute	Normal (\geq 500)	76	1058.25	(955.94, 1160.56)	447.728	520	3674	42.3
	Low ($<$ 500)	26	298.08	(245.85, 350.3)	129.306	57	499	43.4
Venous CD4 Absolute	Normal (\geq 500)	77	1021.29	(918.37, 1124.2)	453.432	505	3590	44.4
	Low ($<$ 500)	25	292.2	(243.59, 340.81)	117.751	70	496	40.3
Capillary CD4 Absolute	Normal (\geq 200)	95	918.01	(817.99, 1018.03)	490.99	205	3674	53.48
	Low ($<$ 200)	7	138	(85.16, 190.84)	57.13	57	194	41.4
Venous CD4 Absolute	Normal (\geq 200)	94	901.45	(802.24, 1000.65)	484.37	241	3590	53.73
	Low ($<$ 200)	8	151	(106.66, 195.34)	53.04	70	198	35.13
Capillary CD4 percentage (%)	Normal (\geq 25%)	78	39.913	(38.14, 41.69)	7.8693	25.5	59.1	19.7
	Low ($<$ 25%)	24	15.068	(12.4, 17.74)	6.3236	4.3	24.1	42
Venous CD4 percentage (%)	Normal (\geq 25%)	81	40.2577	(38.46, 42.06)	8.13836	26.29	60.35	20.2
	Low ($<$ 25%)	21	14.171	(11.36, 16.98)	6.17356	4.77	24.38	43.6

b) Comparison between the results for CD4 count and CD4% from Venous and capillary blood on BD FACSPresto™ system

In Table 4, the mean CD4 counts were 864.48 (513.65) and 842.59 (507.28) using capillary and venous blood samples respectively while that of the CD4 % were 34.07 (12.98) and 34.89(13.13) using capillary and venous blood samples respectively. The mean bias of CD4 count on the FACSPresto™ -21.89 cells/ mL (95%CI -39.09, -4.70, $P < 0.013$) while that of CD4 percentage was 0.82 cells/ mL (95%CI 0.07, 1.57, $P < 0.032$). Table 5 shows the same

comparison for different CD4 count and CD4 ranges. Categorisation has been done at the clinical cut-off of 500 cells/ml and 200cells/ml. For the %, the classification has been done at 25%. There was a slight over quantification of the CD4 counts using capillary sample type while the results of the CD4% were comparable between the blood samples. The Bland-Altman analysis and correlation and regression results are shown in Figure 2. CD4 count values for the capillary and the venous blood samples are highly correlated ($r = 0.985$, $p < 0.001$) compared to their CD4% values ($r = 0.478$, < 0.001). The mean bias and limits of agreements

for the CD4 count were -21.89 cell/mL and -188.07 cell/mL to 162.09 cell/mL respectively while that of the CD4% were 0.82 % and -6.8% cell/mL to 8.44% respectively (see figure 2). Using 500 cells/ml to compare the Venous blood and Capillary blood using CD4 count on FACSPresto™, the overall agreement, sensitivity, specificity, PPV and NPV were found to be 97.06%, 97.40%, 96.00%, 98.0 and 92.3% respectively while those for a threshold of 200 cells/ml were 99.02%, 98.98%, 100.00%, 100.00% and 87.8% respectively. The overall agreement, sensitivity, specificity, PPV and NPV for the CD4 percent threshold of 25% were 95.10%, 95.06%, 95.34%, 96.15%, and 83.33% respectively. These results are presented in Table 3 above.

Further comparison was done on the CD4 counts results generated by the BD FACSCalibur™ on venous blood samples and BD FACSPresto™ on capillary blood samples. Summary statistics are shown on Table 6. The mean CD4

counts were 864.48 (513.65) and 834.38 (449.561) for capillary and venous blood samples respectively. There was no significant difference in the mean of CD4 count results from the two results with a mean bias of -30.10 cells/ μ l (95%CI (-82.45, 22.23), $P = 0.257$). The bias results are almost similar even when CD4 counts were categorized into two groups using both 200 cells/ μ l and 500 cells/ mL as thresholds for CD4 counts (see Table 7). Correlation analysis on Figure 3 indicates existence of correlation between the results generated by the BD FACSCalibur™ on venous blood samples and BD FACSPresto™ on capillary blood samples ($r = 0.86$, $p < 0.01$). In Figure 4, the overall agreement, sensitivity, specificity, PPV and NPV for the CD4 counts at a threshold of 500 cells/ μ l were 94.12%, 96.05%, 88.46%, 96.0%, and 88.4%, respectively while the results at 200 cell/ μ l threshold were 96.08%, 98.92%, 66.67%, 96.8% and 85.7% respectively.

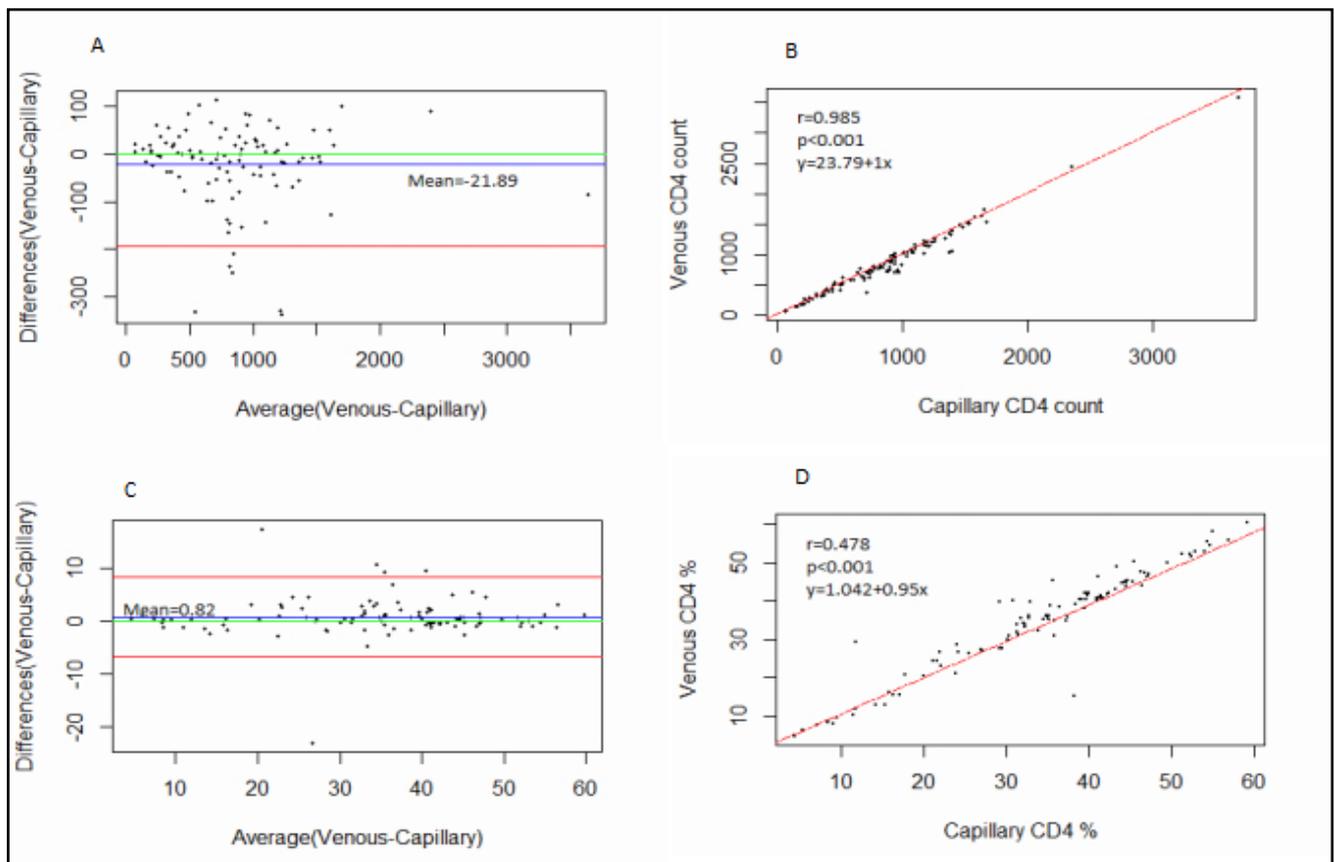


Figure 2. Comparison between Venous and Capillary samples on FACSPresto™. Bland-Altman indicates mean bias between absolute CD4 counts (A) and CD4% values (C) obtained on using venous samples compared with those obtained using capillary samples on FACSPresto™. Regression plot comparison of absolute CD4 counts (B) and CD4% values (D) count obtained on using venous samples compared with those obtained using capillary samples on FACSPresto™

Table 6. Summarized descriptive statistics for the results from BD FACSCalibur™ on venous blood and BD FACSPresto™ on capillary blood samples

Blood sample (Technology)	Mean	95% CI for Mean (LL, UL)	Std. Deviation	Minimum	Maximum	CV
Capillary (BD FACSPresto™)	864.48	(763.59, 965.37)	513.65	57.00	3674.00	59.42
Venous (FACSCalibur™)	834.38	(746.08, 922.68)	449.561	70	1810	53.88
Difference (Venous (FACSCalibur™) – Capillary (BD FACSPresto™))	-30.10	(-82.45, 22.23)	266.56	-2154	293	885.58

Table 7. Comparison of results using BD FACSCalibur™ on venous blood and BD FACSPresto™ on capillary blood for the various CD4 count ranges

Sample type	Category	N	Mean	95% CI for Mean	Std. Deviation	Minimum	Maximum	CV
Capillary CD4 Absolute (BD FACSPresto™)	Normal (>=500)	76	1058.25	(955.94, 1160.56)	447.728	520	3674	42.3
	Low (<500)	26	298.08	(245.85, 350.3)	129.306	57	499	43.4
Capillary CD4 Absolute (BD FACSPresto™)	Normal (>=200)	95	918.01	(817.99, 1018.03)	490.99	205	3674	53.48
	Low (<200)	7	138	(85.16, 190.84)	57.13	57	194	41.4
Venous CD4 Absolute (BD FACSCalibur™)	Normal (>=500)	76	1030.84	(953.92, 1107.77)	336.627	515	1810	32.66
	Low (<500)	26	260.12	(208.63, 127.46)	127.46	70	477	49
Venous CD4 Absolute (BD FACSCalibur™)	Normal (>=200)	93	903.67	(819.56, 987.77)	408.38	207	1810	45.19
	Low (<200)	9	118.44	(91.07, 145.82)	35.61	70	161	30.07

Table 8. Sensitivity, Specificity, Positive Predictive Value (PPV) and Negative Predictive Values (NPV) and misclassification rates of absolute CD4 count at thresholds of 200 and 500 cells/μl

Threshold	Platform /Blood sample	Gold standard	Overall agreement (Accuracy)	Sensitivity	Upward misclassification	specificity	Downward misclassification	PPV	NPV	Overall misclassification rate
500	Capillary (Presto)	Venous (Calibur)	94.12%	96.05%	3.95%	88.46%	11.54%	96.05%	88.46%	5.88%
200	Capillary (Presto)	Venous (Calibur)	96.08	98.92%	1.08%	66.67%	33.33%	96.84%	85.71%	3.92%

Table 7. Summary statistics of the HB results using BD FACSPresto™ on venous and capillary blood

Blood sample	Mean	95% CI for Mean (LL,UL)	Std. Deviation	Minimum	Maximum	CV
Mindray BC Venous - Mbagathi	12.83	(12.33, 13.34)	2.58	3.10	18.00	4.97
BD FACSPresto™ - Capillary-Mbagathi	12.52	(11.99, 13.05)	2.7	3.60	18.30	4.64

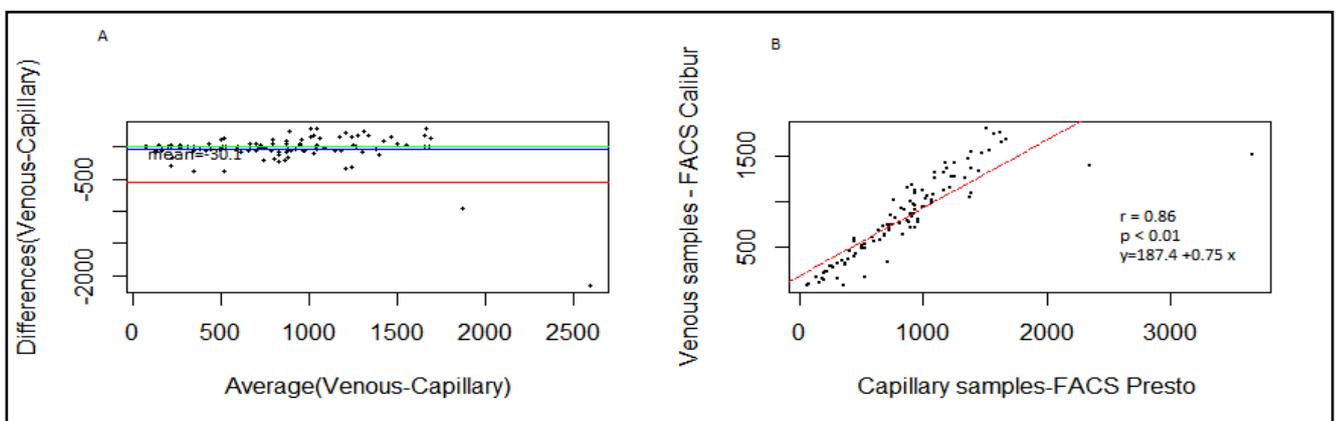


Figure 3. Comparison between Venous (FACSCalibur™) and Capillary (FACSPresto™). (A) Bland-Altman indicates mean bias between absolute CD4 counts using venous samples on FACSCalibur™ compared with those obtained using capillary samples on FACSPresto™. (B) Regression plot comparison of absolute CD4 counts values obtained on venous samples on FACSCalibur™ compared with those obtained using capillary samples on FACSPresto™

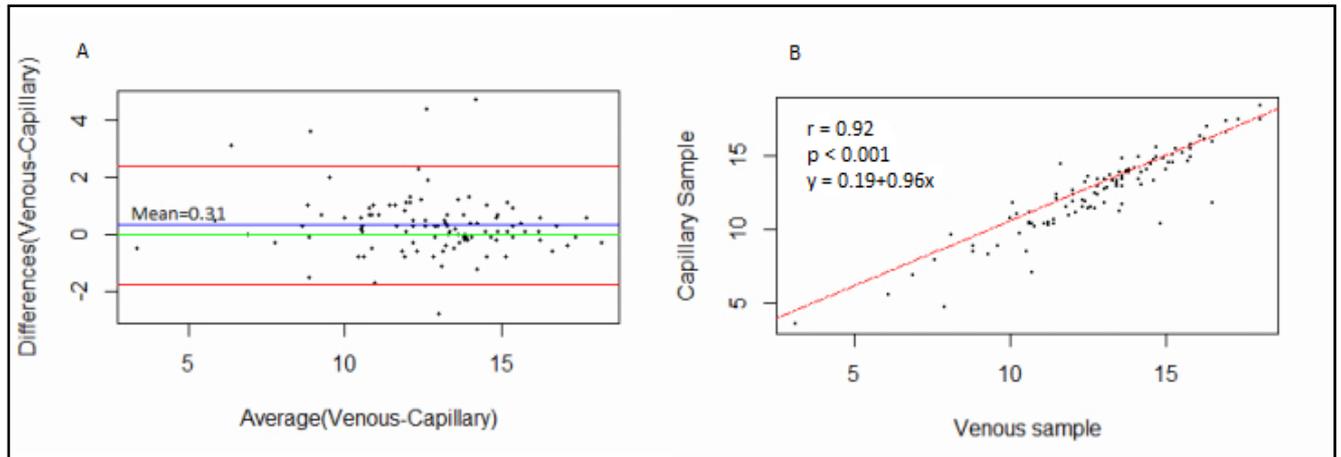


Figure 4. Comparison between Mindray BC Venous sample result and FACSPresto™ capillary samples results on (A) Bland-Altman indicates mean bias between HB concentrate values obtained on using venous samples on Mindray BC to those obtained using capillary samples on FACSPresto™. (B) Regression plot comparison of HB concentrate values count obtained on using venous samples on Mindray BC compared with those obtained using capillary samples on FACSPresto™

Comparison of the HB results using BD FACSPresto™ on venous and capillary blood

Table 7: Has the summary results for the HB concentration analysis. The mean HB values were 12.8 (2.58) and 12.52 (2.70) for Venous and capillary samples respectively. The HB results from the two blood samples were found to be significantly different with a mean HB difference being -0.31 (p value = 0.004). There was however a high correlation between the results from the two systems at a correlation coefficient of 0.920 ($P < 0.001$).

4. Discussion

BD FACSPresto™ is a new point of care testing equipment meant to support CD4 testing program in Kenya. Initially the country had FACSCalibur™. We found that there was a significant difference in mean CD4 absolute values between BD FACSPresto™ and FACSCalibur at $p < 0.01$. In terms of sample type interchangeability within BD FACSPresto™, there was a significant difference between venous and capillary sample type at $p < 0.013$ with capillary giving higher values as compared to venous (-21.89 cells/ μ l (95%CI -39.09, -4.70)). For sample type comparison within BD FACSPresto™, we found that sensitivity, specificity, upward misclassification, downward misclassification, PPV and NPV were 94.40%, 96.0%, 2.60%, 4.00%, 98.0% and 92.3% respectively. Additionally when we compared capillary BD FACSPresto™ to venous FACSCalibur™, we found out that there was no significant difference at $p = 0.257$. This indicates that capillary sample type is the ideal sample type for use in BD FACSPresto™. For Hb measurement, there was no significant difference between BD FACSPresto™ and Mindray BC with difference in absolute mean of -0.31 and a p value of $P < 0.001$.

This study evaluated the performance characteristics of

this analyzer, under ideal laboratory conditions using both venous and capillary blood sampling, as well as its applicability in a typical health care clinic to support both CD4 and Hb testing using FACSCalibur™ and Mindray BC as the gold standard method of testing. Capillary ‘finger stick’ blood is the ideal sample type for BD FACSPresto™ in terms of reliable CD4 and Hb reporting for patients on ART program. Similar studies have reported good correlation ranging from 0.890 to 0.992 under ISO 15189 certified laboratory conditions while varying accuracy and consistency has been reported for capillary sample collection method under field condition [16, 22-26]. This compares with our findings of r being equivalent to 0.985.

Venous blood CD4 result comparison of FACSCalibur™ and FACSPresto™ reported here are similar to previous reports where venous sampling was used [22, 27-30]. In these studies, the mean difference in absolute CD4 values ranges from of 62.17 to 116.79 cells/mL. All these studies report a significant difference similar to our study at a p -value of $P < 0.01$. For sensitivity and specificity, the FACSPresto™ results were 94.74% and 92.3%. For program planning this is equivalent to population upward misclassification of 5.26% and 7.69% downward misclassification for absolute CD4 count.

Capillary sampling and testing by FACSPresto™ compared well with the venous FACSPresto™ method, with a small overestimation of absolute counts, the difference in mean absolute values was 21.89 cells/mL (95%CI -39.09, -4.70) and a p value of $P < 0.013$. Regression analysis of the absolute values gave an r of, $r = 0.985$ and $P < 0.013$. For sensitivity and specificity, the FACSPresto™ results were 94.40% and 96.0%. For program planning this is equivalent to population upward misclassification of 2.60% and 4.00% downward misclassification for absolute CD4 count. The result was not different when the clinical cut-off was set at 500 cells/ml or 200 cells/ μ l. This underpins the tight

difference between the capillary Presto™ and venous Presto™. This was not different from the CD4 % comparison that had a mean difference of 0.82% cells/mL (95%CI 0.07, 1.57) with a p value of $P < 0.032$ and r value of ($r=0.958$, $P < 0.032$) indicating good correlation between the two platforms. This was similar to other study findings; despite small mean Bland Altman bias reported giving the impression of minimal difference, wide limits of agreement have been reported in most studies, confirmed in a large recent meta-analysis [4, 5, 12, 30]. Preliminary findings from other cartridge-based systems like Daktari and MBio reveals under-estimation of absolute CD4 counts using venous sampling and relatively poor precision to FACSCalibur™ [28, 29, 31].

For Hb measurement, FACSPresto™ capillary blood Hb result compared fairly with venous Mindray BC results as reported by similar studies [32-37]. These other studies had mean absolute values ranging from -0.41 to 0.37 while the correlation ranged from $r = 0.876$ to $r = 0.983$ at $P < 0.001$.

5. Conclusions

The results obtained from the capillary BD FACSPresto™ were comparable to the standard venous BD FACSCalibur™ and Mindray BC-5380 haematology analyser while venous BD FACSPresto™ underestimates the absolute CD4 count. The capillary BD FACSPresto™ can therefore be utilized to measure CD4 and Haemoglobin in HIV patients especially in resource limited settings. The system can facilitate testing of ~50 samples per day for both CD4 and Hb measurement from a finger prick capillary sample making it an ideal sample type for use in the field or to extend laboratory services where resources are limited or access to laboratories is poor. In comparison on the best POCT to use in the market, we advise various stakeholders to conduct a cost-effective analysis studies of available platform before deciding on the ideal platform for each population.

REFERENCES

- [1] R. Barbouche, R. Miquelis, I. M. Jones, and E. Fenouillet, "Protein-disulfide isomerase-mediated reduction of two disulfide bonds of HIV envelope glycoprotein 120 occurs post-CXCR4 binding and is required for fusion," *J. Biol. Chem.*, vol. 278, no. 5, pp. 3131–3136, 2003.
- [2] J. S. McDougal, M. S. Kennedy, J. M. Slish, S. P. Cort, A. Mawle, and J. K. A. Nicholson, "Binding of HTLV-III/LAV to T4+ T cells by a complex of the 110k viral protein and the T4 molecule," *Science (80-)*, vol. 231, no. 4736, pp. 382–385, 1986.
- [3] D. Damtie, G. Yismaw, D. Woldeyohannes, and B. Anagaw, "Common opportunistic infections and their CD4 cell correlates among HIV-infected patients attending at antiretroviral therapy clinic of Gondar University Hospital, Northwest Ethiopia," *BMC Res. Notes*, vol. 6, p. 534, 2013.
- [4] M. Catalfamo, C. Wilhelm, L. Tcheung, M. Proschan, T. Friesen, J. Park, J. Adelsberger, M. Baseler, F. Maldarelli, R. Davey, G. Roby, C. Rehm, and C. Lane, "CD4 and CD8 T Cell Immune Activation during Chronic HIV Infection: Roles of Homeostasis, HIV, Type I IFN, and IL-7," *J. Immunol.*, vol. 186, pp. 2106–2116, 2011.
- [5] H. Chakraborty, J. E. Newman, G. Woelk, J. Hemingway-foday, I. Jeniffer, W. Akam, A. Balimba, L. Kalenga, M. Mbaya, H. Mukumbi, T. Niyongabo, B. Mfangammolu, R. Ryder, and R. Huebner, "Antiretroviral Therapy Initiation and CD4 Progression over time among HIV Infected Adults in Central Africa," *Int. J. Med. Public Heal.*, vol. 1, no. 4, 2011.
- [6] WHO, *Consolidated guidelines of the use of antiretroviral drugs for treating and preventing HIV infection: Recommendations for a public health approach*, Second. 2016.
- [7] M. of Health and N. A. & S. C. Programme, *Guidelines on Use of Antiretroviral Drugs for Treating and Preventing HIV Infection in Kenya*, 2016th ed. Nairobi: NASCOP, 2016.
- [8] R. D. Semba, N. Shah, R. S. Klein, K. H. Mayer, P. Schuman, and D. Vlahov, "Prevalence and Cumulative Incidence of and Risk Factors for Anemia in a Multicenter Cohort Study of Human Immunodeficiency Virus – Infected and – Uninfected Women," *Clin. Infect. Dis.*, vol. 34, pp. 260–266, 2002.
- [9] G. C. De Santis, D. M. Brunetta, F. C. Vilar, R. A. Brandao, R. Z. de A. Muniz, G. M. N. de Lima, M. E. Amorelli-Chacel, D. T. Covas, and A. A. Machado, "Hematological abnormalities in HIV-infected patients," *Int. J. Infect. Dis.*, vol. 15, pp. e808–e811, 2011.
- [10] A. Mocroft, O. Kirk, S. E. Barton, M. Dietrich, R. Proenca, R. Colebunders, C. Pradier, A. d'Arminio Monforte, B. Ledergerber, and J. D. Lundgren, "Anaemia is an independent predictive marker for clinical prognosis in HIV-infected patients from across Europe," *AIDS*, vol. 13, pp. 943–950, 1999.
- [11] P. S. Sullivan, D. L. Hanson, S. Y. Chu, J. L. Jones, J. W. Ward, and A. S. of D. Group, "Epidemiology of Anemia in Human Immunodeficiency Virus (HIV)-Infected Persons: Results From the Multistate Adult and Adolescent Spectrum of HIV Disease Surveillance Project," *Blood*, vol. 91, no. 1, pp. 301–308, 1998.
- [12] P. A. Volberding, A. M. Levine, D. Dieterich, D. Mildvan, R. Mitsuyasu, and M. Saag, "Anemia in HIV Infection: Clinical Impact and Evidence-Based Management Strategies," *Clin. Infect. Dis.*, vol. 38, pp. 1454–1463, 2004.
- [13] T. Peter, A. Badrichani, E. Wu, R. Freeman, B. Ncube, F. Ariki, J. Daily, Y. Shimada, and M. Murtagh, "Challenges in Implementing CD4 Testing in Resource-Limited Settings," *Cytometry. Part B, Clin. Cytometry*, vol. 74B, no. Suppl. 1, pp. S123–S130, 2008.
- [14] R. Zachariah, S. D. Reid, P. Chaillet, M. Massaquoi, E. J. Schouten, and A. D. Harries, "Why do we need a point-of-care CD4 test for low-income countries?," *Trop. Med. Int. Heal.*, vol. 16, no. 1, pp. 37–41, 2011.
- [15] A. Heffernan, E. Barber, R. Thomas, C. Fraser, M. Pickles, and A. Cori, "Impact and Cost-Effectiveness of Point-Of-Care CD4 Testing on the HIV Epidemic in South Africa," *PLoS One*, vol. 11, no. 7, p. e0158303, 2016.

- [16] M. Malagun, G. Nano, C. Chevallier, R. Opina, G. Sawiya, J. Kivavia, A. Kalinoe, K. Nathaniel, O. Kaminiel, J. Millan, A. Carmone, M. Dini, T. Palou, K. Topma, E. Lavu, and J. Markby, "Multisite Evaluation of Point of Care CD4 Testing in Papua New Guinea," *PLoS One*, vol. 9, no. 11, p. e112173, 2014.
- [17] C. O. Laurence, J. R. Moss, N. E. Briggs, and J. J. Beilby, "The cost-effectiveness of point of care testing in a general practice setting: results from a randomised controlled trial," *BMC Health Serv. Res.*, vol. 10, p. 165, 2010.
- [18] P. B. Luppá, C. Müller, A. Schlichtiger, and H. Schlebusch, "Point-of-care testing (POCT): Current techniques and future perspectives," *TrAC - Trends in Analytical Chemistry*, vol. 30, no. 6, pp. 887–898, 2011.
- [19] S. Herbert, S. Edwards, G. Carrick, A. Copas, C. Sandford, M. Amphlett, and P. Benn, "Evaluation of PIMA point-of-care CD4 testing in a large UK HIV service," *Sex Transm Infect*, vol. 88, no. 6, pp. 413–417, 2012.
- [20] F. Angira, B. Akoth, P. Omolo, V. Opollo, S. Bornheimer, K. Judge, H. Tilahun, B. Lu, I. Omana-Zapata, and C. Zeh, "Clinical Evaluation of the BD FACSPresto™ Near-Patient CD4 Counter in Kenya," *PLoS One*, vol. 11, no. 8, p. e01577939, 2016.
- [21] P. Bwana, L. Vojnov, M. Adhiambo, C. Akinyi, J. Mwendé, M. Prescott, and M. Mwau, "The BD FACSPresto Point of Care CD4 Test Accurately Enumerates CD4 + T Cell Counts," *PLoS One*, vol. 10, no. 12, p. e0145586, 2015.
- [22] I. V. Jani, N. E. Siteo, P. L. Chongo, E. R. Alfai, J. I. Quevedo, O. Tobaiwa, J. D. Lehe, and T. F. Peter, "Accurate CD4 T-cell enumeration and antiretroviral drug toxicity monitoring in primary healthcare clinics using point-of-care testing," *AIDS*, vol. 25, no. 6, pp. 807–812, 2011.
- [23] J. Malia DrPH, M. Manak, K. Lombardi, K. Crawford, R. Giese, M. Bryant, and S. Peel, "Clinical evaluation of PIMA point of care assay for evaluation of CD4 cell counts," *20th Int. AIDS Conf. July 20-25, 2014, Melbourne, Aust.*, p. 2014, 2014.
- [24] N. Kiwanuka, M. Robb, O. Laeyendecker, G. Kigozi, F. Wabwire-Mangen, F. E. Makumbi, F. Nalugoda, J. Kagaayi, M. Eller, L. A. Eller, D. Serwadda, N. K. Sewankambo, S. J. Reynolds, T. C. Quinn, R. H. Gray, M. J. Wawer, and C. C. Whalen, "HIV-1 viral subtype differences in the rate of CD4+ T-cell decline among HIV seroincident antiretroviral naive persons in Rakai district, Uganda," *J. Acquir. Immune Defic. Syndr.*, vol. 54, no. 2, pp. 180–184, 2010.
- [25] S. Mtapuri-Zinyowera, E. T. Chiyaka, W. Mushayi, G. Musuka, F. Naluyinda-Kitabire, A. Mushavi, and V. Chikwasha, "PIMA Point of Care CD4+ Cell Count Machines in Remote MNCH Settings: Lessons Learned from Seven Districts in Zimbabwe," *Infect. Dis. (Auckl)*, vol. 6, pp. 51–60, 2013.
- [26] M. A. Desai, D. Okal, R. T. Chen, R. Ndivo, C. Lebaron, T. Williams, F. Otieno, C. Rose, T. Samandari, and C. Zeh, "Point-of-care CD4 (PIMA) impact on linkage to care with home-based HIV testing, Kenya," *Top Antivir Med*, vol. 23, pp. 273–274, 2015.
- [27] P. A. Diaw, G. G. Daneau, A. A. Coly, N. P. Birahim, W. Djibril, C. Makhtar, M. Souleymane, L. Kestens, T. N. Dieye, B. P. Ndiaye, D. Wade, M. Camara, and S. Mboup, "Multisite evaluation of a point-of-care instrument for CD4(+) T-cell enumeration using venous and finger-prick blood: the PIMA CD4," *J. Acquir. Immune Defic. Syndr.*, vol. 58, no. 4, pp. e103–11, 2011.
- [28] C. De Schacht, C. Lucas, N. Siteo, R. Machekano, P. Chongo, M. Temmerman, O. Tobaiwa, L. Guay, S. Kassaye, and I. V. Jani, "Implementation of point-of-care diagnostics leads to variable uptake of syphilis, anemia and CD4+ T-Cell count testing in rural maternal and child health clinics," *PLoS One*, vol. 10, no. 8, 2015.
- [29] T. N. Dieye, P. A. Diaw, G. Daneau, D. Wade, M. Sylla Niang, M. Camara, A. A. Diallo, C. Toure Kane, H. Diop Ndiaye, B. Mbengue, A. Dieye, L. Kestens, and S. Mboup, "Evaluation of a flow cytometry method for CD4 T cell enumeration based on volumetric primary CD4 gating using thermoresistant reagents," *Journal of Immunological Methods*, vol. 372, no. 1–2, pp. 7–13, 2011.
- [30] B. O. Taiwo and R. L. Murphy, "Clinical applications and availability of CD4+ T cell count testing in sub-Saharan Africa," *Cytometry Part B - Clinical Cytometry*, vol. 74, no. SUPPL. 1, 2008.
- [31] Q. Liu, A. Chernish, J. A. DuVall, Y. Ouyang, J. Li, Q. Qian, L. A. L. Bazydlo, D. M. Haverstick, and J. P. Landers, "The ARTµS: a novel microfluidic CD4+ T-cell enumeration system for monitoring antiretroviral therapy in HIV patients," *Lab Chip*, vol. 16, pp. 506–514, 2016.
- [32] D. Le, S. De Pinto, L. Efrós, S. Lee, N. Bui, M. Crow, F. Mossqueda, A. Tran, S. Waheed, M. Swaim, D. Yaneza, N. Corr, S. Bhatia, A. Wu, D. Mo, H. Tilahun, B. Lu, E. Shea, C. Ong, E. Mimba, B. Akoth, B. Oyaro, F. Angira, A. Wu, C. Zeh, R. Chen, C. Bush-Donovan, and S. Bornheimer, "Field evaluation of the BD FACSPresto™ near-patient CD4 counter in San Francisco, USA, and Kisumu, Kenya," *20th International AIDS Conference, July 20-25, 2014, Melbourne, Australia*. 2014.
- [33] R. Ottaviano, D. Garelli, S. Pastori, and G. Giuliani, "Correlation between a new fully automated hematology analyzer mindray BC-6800 (medical systems S.P.A.) and sismex XE2100 analyzer (dasit S.P.A.)," *Biochim. Clin.*, vol. 37, pp. S477–S477, 2013.
- [34] G. Shu, H. Lu, H. Du, J. Shi, and G. Wu, "Evaluation of Mindray BC-3600 hematology analyzer in a university hospital," *Int. J. Lab. Hematol.*, vol. 35, no. 1, pp. 61–69, 2013.
- [35] L. Peng, C. Yan, H. Lu, and Y. Xia, "Evaluation of analytic and motion-resistant performance of the Mindray 9006 pulse oximeter," *Med. Sci. Monit.*, vol. 13, no. 8, p. MT19-T27, 2007.
- [36] E. Hanson, A. Albornoz, and J. Ballantyne, "Validation of the hemoglobin (Hb) hypsochromic shift assay for determination of the time since deposition (TSD) of dried bloodstains," *Forensic Sci. Int. Genet. Suppl. Ser.*, vol. 3, no. 1, 2011.
- [37] A. Meunier, A. Petersson, L. Good, and G. Berlin, "Validation of a haemoglobin dilution method for estimation of blood loss," *Vox Sang.*, vol. 95, no. 2, pp. 120–124, 2008.